

Performance Based Appraisal System (PBAS) Proforma for Calculating Academic Performance Indicator (API) Score

- Note :**
- (i) Please read the instructions given at the end of Proforma for calculating API Score in each category.
 - (ii) Applicants must submit documentary evidence in support of each of the claim.
 - (iii) Use additional sheets wherever necessary.
 - (iv) Refer UGC Regulations 2010 available on www.ugc.ac.in for detailed information.

CATEGORY III : RESEARCH, PUBLICATIONS & ACADEMIC CONTRIBUTIONS

(A) Published Papers in Journals :

S.N.	Title with page no.	Journal	ISSN/ ISBN No.	Whether peer reviewed. Impact factor, if any	No. of Co-authors	Whether you are the main author	API Score	Annexure No. of Proof Enclosed	API Score Verified by Screening /Scrutiny Com.
1									
2									
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									
19									
20									
21									
22									
23									
Total									

(B) (i) Articles/Chapters published in Books :

S.N.	Title with page no.	Book, Title, Editor, Publisher & Date of Publication	ISSN/ ISBN No.	Whether peer reviewed.	No. of Co-authors	Whether you are the main author	API Score	Annexure No. of Proof Enclosed	API Score Verified by Screening /Scrutiny Com.
1									
2									
3									
4									
5									
6									
Total							_____		

(B) (ii) Full papers in Conference Proceedings :

S.N.	Title with page no.	Details of Conference Publications & Date of Publications	ISSN/ ISBN No.	Whether peer reviewed.	No. of Co-authors	Whether you are the main author	API Score	Annexure No. of Proof Enclosed	API Score Verified by Screening /Scrutiny Com.
1									
2									
3									
4									
5									
6									
7									
Total							_____		

(B) (iii) Books published as single author or as editor :

S.N.	Title with page no.	Type of Book & Authorship & Date of Publication	ISSN/ ISBN No.	Whether peer reviewed.	No. of Co-authors	Whether you are the main author	API Score	Annexure No. of Proof Enclosed	API Score Verified by Screening /Scrutiny Com.
1									
2									
3									
4									
5									
6									
Total							_____		

(C) Ongoing and Completed Research Projects and Consultancies

(C) (i) & (ii) Ongoing Research Projects & Consultancies :

S.N.	Title	Agency	Period	Grant/Amount Mobilized (Rs. In Lakhs)	API Score	Annexure No. of Proof Enclosed	API Score Verified by Screening /Scrutiny Com.
1							
2							
3							
4							
Total					_____		

(C) (iii) & (iv) Completed Projects/Consultancies :

S.N.	Title	Agency	Period	Grant/ Amount Mobilized (Rs. In Lakhs)	Whether Policy Document /Patent as outcome	API Score	Annexure No. of Proof Enclosed	API Score Verified by Screening /Scrutiny Com.
1								
2								
3								
4								
Total					_____			

(D) Research Guidance :

	Number Enrolled	Thesis Submitted	Degree Awarded	API Score	Annexure No. of Proof Enclosed	API Score Verified by Screening /Scrutiny Com.
M. Phil or Equivalent						
Ph.D. or Equivalent						
Total				_____		

(E) (i) Training Courses, Teaching-Learning-Evaluation Technology Programmes, Faculty Development Programmes (not less than one week's duration) :

S.N.	Programme	Duration	Organized by	API Score	Annexure No. of Proof Enclosed	API Score Verified by Screening /Scrutiny Com.
1						
2						
3						
Total				_____		

(E) (ii) Papers presented in Conferences, Seminars, Workshops, Symposia :

S.N.	Title of the paper presented	Title of Conference, Seminar, etc. (Whether International/National/State/Regional/Univ. or College Level)	Date(s) of the event	Organized by	API Score	Annexure No. of Proof Enclosed	API Score Verified by Screening /Scrutiny Com.
1							
2							
3							
4							
5							
Total					_____		

(E) (iii) Invited Lectures and Chairmanships at National or international Conference/ Seminars :

S.N.	Title of Lecture/ Academic Session	Title of Conference, Seminar, etc. (Whether International/ National)	Date(s) of the event	Organized by	API Score	Annexure No. of Proof Enclosed	API Score Verified by Screening /Scrutiny Com.
1							
2							
3							
4							
5							
Total					_____		

Total API Score of CATEGORY – III = _____ Points

I certify that the information provided is correct as per records available and/or documents enclosed along with the duly filled PBAS proforma.

DATE : _____

PLACE : _____

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(Signature of Applicant)

Instructions to Applicants for calculating API Score in each category

CATEGORY-III: RESEARCH AND ACADEMIC CONTRIBUTIONS

(A) Published Papers in Journals

Category	APIs	Engineering/Agriculture/ Veterinary Science/Sciences/Medical Sciences	Faculties of Languages Arts/ Humanities/ Social Sciences/ Library/ Physical Education/Management	Max Points for University and College Teacher position
(A)	Research Papers (Published in Journals)	Refereed Journals with ISBN/ISSN numbers	Refereed Journals with ISBN/ISSN numbers	15 / Publication
		Refereed Journals without ISBN/ISSN numbers	Refereed Journals without ISBN/ISSN numbers	10 / Publication
		Non-refereed but recognized and reputable Journals and Periodicals	Non-refereed but recognized and reputable Journals and Periodicals	10 / Publication
		<p>Note 1: If there are more than one author of Research paper in Category (A); the points will be shared as follows:</p> <ul style="list-style-type: none"> • Up to three authors: Points will be shared equally. • More than three authors; the first/Principal author and the corresponding author/supervisor/mentor of the teacher would share equally 60% of the total points and the remaining 40% would be shared equally. 		
		<p>Note 2: The API score for paper in refereed journal would be augmented as follows: (i) indexed journals – by 5 points (ii) paper with impact factor below 1 (5 Points); (iii) papers with impact factor between 1 and 2 (10 points); (iv) papers with impact factor between 2 and 5 (15 points); (v) papers with impact factor between 5 and 10 (25 points).</p>		
		<p>Note 3: The research papers published in reputed Journals identified by the University will be given 15 Points and other publication will be given 10 points. *</p>		

* :

- i) University may maintain a Central pool containing Lists of Journals on the basis of recommendation of Academic Committees of all the teaching departments & place it on the University web-site; and
- ii) The claim of an applicant with regard to Refereed journal, if not explicitly mentioned in the journal, will be considered if he attaches a proof in this regard from the Editor alongwith his initial application form.

B (i) & (ii) Articles/ Chapters published in Books, Full papers in Conference Proceedings

Category	APIs	Engineering/ Agriculture/ Veterinary Science/ Sciences/ Medical Sciences	Faculties of Languages Arts/ Humanities/ Social Sciences/ Library/ Physical Education/ Management	Max Points for University and College Teacher position (Not to exceed 50 points in total including Editor and Paper Contributor)
(i)	Research Publications (Chapters in books, other than refereed journal articles)	Text or Reference Books Published by International Publishers with an established peer review system.	Text or Reference Books Published by International Publishers with an established peer review system.	10 points/Chapter in a Edited Book. <u>Note:</u> If there are more than one author; points will be divided equally.
		Subjects Books by National level publishers/ State and Central Govt. Publications with ISBN/ISSN numbers.	Subjects Books by National level publishers /State and Central Govt. Publications with ISBN/ISSN numbers.	5 points/Chapter in a Edited Book. <u>Note:</u> If there are more than one author; points will be divided equally.
		Subject Books by Other local publishers with ISBN/ISSN numbers.	Subject Books by Other local publishers with ISBN/ISSN numbers.	3 Points/Chapter in a Edited Book. <u>Note:</u> If there are more than one author; points will be divided equally.
		Chapters contributed to edited knowledge based volumes published by International Publishers.	Chapters contributed to edited knowledge based volumes published by International Publishers.	10 Points/Chapter. <u>Note:</u> If there are more than one author; points will be divided equally.
		Chapters in knowledge based Volumes by Indian /National level publishers with ISBN/ISSN numbers and with numbers of national and international directories.	Chapters in knowledge based volumes by Indian /National level publishers with ISBN/ISSN numbers and with numbers of national and international directories.	5 Points/Chapter. <u>Note:</u> If there are more than one author; points will be divided equally.
(ii)	Full papers in Conference Proceedings	Conference proceedings as full papers, etc. (Abstracts not to be included).	Conference proceedings as full papers, etc. (Abstracts not to be included).	10 Points/publication.

B (iii) Books (including Creative Books) published as Author, Editor/Translator

Category	APIs	Engineering/ Agriculture/ Veterinary Science/ Sciences/ Medical Sciences	Faculties of Languages Arts/ Humanities/ Social Sciences/ Library/ Physical Education/ Management	Max Points for University and College Teacher position (Not to exceed Maximum limit of 50 points and 25 points for the respective categories)	
				Author	Editor/Translator
(iii)	Research Publications (books (including Creative Books)/ Translated Book/ Text Book/ Monographs)	Text or Reference Books Published by International Publishers with an established peer review system.	Text or Reference Books Published by International Publishers with an established peer review system.	50 Points /sole author. <u>Note:</u> If there are more than one author; points will be divided equally.	25 Points/sole editor/translator. <u>Note:</u> If there are more than one editor/translator; points will be divided equally.
		Subjects Books by National level publishers/State and Central Govt. Publications with ISBN/ISSN numbers.	Subjects Books by National level publishers/State and Central Govt. Publications with ISBN/ISSN numbers.	25 Points /sole author. <u>Note:</u> If there are more than one author; points will be divided equally.	12.5 Points /sole editor/translator. <u>Note:</u> If there are more than one editor/translator; points will be divided equally.
		Subject Books by other local publishers with ISBN/ISSN numbers.	Subject Books by Other local publishers with ISBN/ISSN numbers.	15 Points/sole author. <u>Note:</u> If there are more than one author; points will be divided equally.	7.5 Points/sole editor/translator. <u>Note:</u> If there are more than one editor/translator; points will be divided equally.
		Subject Books by other local Publishers without ISBN/ISSN numbers.	Subject Books by Other local publishers without ISBN/ISSN numbers.	10 Points/sole author. <u>Note:</u> If there are more than one author; points will be divided equally	5 Points/sole editor/translator. <u>Note:</u> If there are more than one editor/translator; points will be divided equally.

C (i to v) Ongoing Research projects, consultancies and assignments

Category	APIs	Engineering/ Agriculture/ Veterinary Science/ Sciences/ Medical Sciences	Faculties of Languages Arts/Humanities/ Social Sciences/Library/ Physical Education/ Management	Max Points for University and College Teacher position
(i)	Sponsored Projects carried out/ ongoing	(a) Major Projects amount mobilized with grants above 30.0 lakhs.	Major Projects amount mobilized with grants above 5.0 lakhs.	20 /each Project
		(b) Major Projects amount mobilized with grants above 5.0 lakhs up to 30.00 lakhs.	Major Projects Amount mobilized with minimum of Rs. 3.00 lakhs up to Rs. 5.00 lakhs.	15 /each Project
		(c) Minor Projects (Amount mobilized with grants above Rs. 50,000 up to Rs. 5 lakh).	Minor Projects (Amount mobilized with grants above Rs. 25,000 up to Rs. 3 lakh).	10/each Project.
(ii)	Consultancy Projects carried out / ongoing	Amount mobilized with minimum of Rs.10.00 lakh.	Amount mobilized with minimum of Rs.2.00 lakhs.	10 per every Rs.10.0 lakhs and Rs.2.0 lakhs, Respectively for Science and social sciences.
(iii)	Completed projects : Quality Evaluation	Completed project Report (Acceptance from funding agency).	Completed project report (Accepted by funding agency)	20/each major project and 10/each minor project.
(iv)	Projects Outcome / Outputs	Patent/Technology transfer/Product/ Process.	Major Policy document of Govt. Bodies at Central and State level.	30/each national level output or patent & 50/each for International level.

(D) Research Guidance

Category	APIs	Engineering/Agriculture/ Veterinary Science/ Sciences/ Medical Sciences	Faculties of Languages Arts/ Humanities/ Social Sciences/ Library/Physical Education/ Management	Max Points for University and College Teacher position
(i)	M. Phil./ M.E./ M. Tech/ LL.M./ Equivalent	Degree awarded only	Degree awarded only	3 Points for each candidate.
(ii)	Ph. D	Degree awarded only	Degree awarded only	10 Points for each candidate.
		Thesis submitted	Thesis submitted	7 Points for each candidate.

E (i) Training courses, teaching-learning-evaluation technology, faculty development programmes

Category	APIs	Engineering/Agriculture /Veterinary Science/ Sciences/ Medical Sciences	Faculties of Languages Arts/Humanities/ Social Sciences/ Library/Physical Education/Management	Max Points for University and College Teacher position
(i)	Organizer (President/ Chairman/ Coordinator/ Convener/Director/ Secretary) of Seminar, Refresher courses, Research Methodology, workshops, Training, Teaching-Learning- Evaluation Technology Programmes, Soft Skills development Programmes, Faculty Development Programmes (Maximum of 50 Points)	(a) Not less than two weeks duration.	(a) Not less than two weeks duration.	30 points each
		(b) One week duration.	(b) One week duration.	15 points each
		(c) Less than a week duration.	(c) Less than a week duration.	10 points each
Note : If there are more than one organizer; the points will be shared equally by all.				
(ii)	Participation in Refresher courses, Methodology workshops, Training, Teaching-Learning- Evaluation Technology Programmes, Soft Skills development Programmes, Faculty Development Programmes (Maximum of 30 Points)	(a) Not less than two weeks duration.	(a) Not less than two weeks duration.	20 points each
		(b) One week duration.	(b) One week duration.	10 points each
		(c) Less than a week duration.	(c) Less than a week duration.	5 points each

E (ii) Papers presented in Conferences, Seminars, Workshops, Symposia

Category	APIs	Engineering/Agriculture/ Veterinary Science/ Sciences/ Medical Sciences	Faculties of Languages Arts/ Humanities/ Social Sciences/ Library/ Physical Education/Management	Max Points for University and College Teacher position
(i)	Papers in Conference/ Seminars/ Workshops/ session Chaired etc.	Participation and Presentation of research papers (oral/poster) in :	Participation and Presentation of research papers (oral/poster) in :	
		a) International Conference	a) International Conference	10 Points each
		b) National	b) National	7.5 Points each
		c) Regional/State level	c) Regional/State level	5 Points each
		d) Local -University/College level	d) Local-University/ College level	3 Points each
<p>Note : If a paper presented in Conference/Seminar is published in the form of Proceedings (Full Paper), the points would accrue for the publication as per B (ii) and not under this headings</p>				

E (iii) Invited Lectures (including Extension) and Chairmanships at National or international Conference/ Seminars/Office Bearer/Advisor of Registered Professional Bodies

Category	APIs	Engineering/Agriculture/ Veterinary Science/ Sciences/ Medical Sciences	Faculties of Languages Arts/Humanities/ Social Sciences/ Library /Physical Education/ Management	Max Points for University and College Teacher position
(i)	Resource Person/ invited speakers/ Office Bearer/ Advisor of Registered Professional Bodies	(a) International	(a) International	10 Points each
		(b) National level	(b) National level	5 Points each